

## Group Equivariant Deep Learning

Lecture 1 - Regular group convolutions

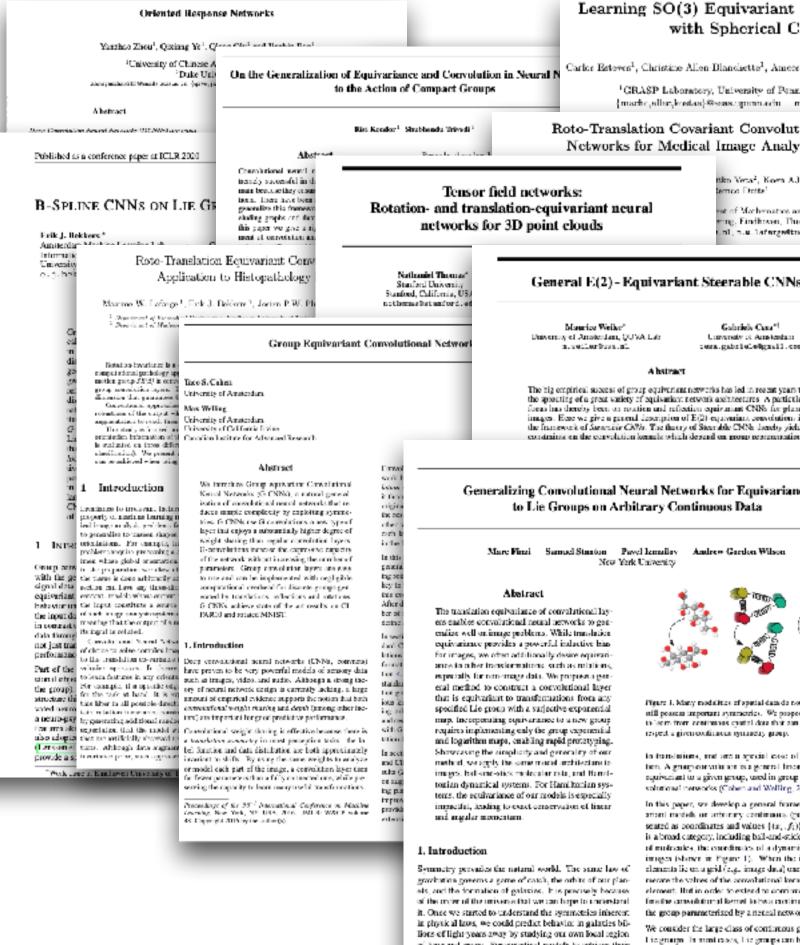
Lecture 1.5 - A brief history of G-CNNs

## G-CNNs rule!

- The right inductive bias: guaranteed equivariance (no loss of information)
- Performance gains that can't be obtained by data-augmentation alone (both local and global equivariance/invariance)
- Increased sample efficiency (increased weight sharing, no geometric augmentation necessary)

## G-CNNs rule!

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Symmetry persuades the natural world. The same haveof gravitation governs a game of catch, the orbits of our planets, and the formation of galaxies. It is precisely because of the order of the universatial we can hope to understand it. Once we started to understand the symmetries inherent in physic all laws, we could predict behavior in galaxies billions of light years away by studying our own local region of time and space. For startatical models to achieve their full potential, it is essential to incorporate our knowledge of inturally occurring symmetries into the design of algorithms and archivectures. An example of this principle is the translation approximate of convolutional layers in mage; is translated, the output of a convolutional layer is translated in the same way.

Group theory provides a mechanism tense on about symmetry and equivariance. Corrolational layers are equivariant.

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https://github.com/Chen-Cai-OSU/awesome-equivariant-network **Discrete G-CNNs Gauge-equivariant CNNs** (square/cube symmetries) (manifolds) Winkels-Cohen 2018 Cohen-Welling 2016 3D discrete roto-transl. p4m Hoogeboom et al. 2018 Weiler et al. 2021 Cohen et al. 2019 Coordinate Independent CNNs SE(2,6) Icosahedron de Haan et al. 2020 Dieleman et al. 2016 Worrall-Brostow 2018 Meshes 3D discrete roto-transl. p4m **CNNs** G-CNNs NNs Cohen et al. 2018 Worrall et al. 2017 Mallat et al. 2013, 2015 Bekkers 2019 LeCun et al. 1990 SE(3) Lie groups (rotation, scale) Kondor-Trivedi 2018 Esteves 2017 Bekkers et al. 2014-2018 SE(3) Finzi et al. 2020 SO(3) SE(2) Weiler et al. 2018 Lie groups Kondor 2018 Weiler et al. 2017 Chakraborty et al. 2018 Riemannian Hom. spaces Zhou et al. 2017 Thomas et al. 2018 Sosnovik et al. 2020 *SE*(2) SE(3) Weiler-Cesa 2019 Scale-translation SE(2) **Continuous rotation G-CNNs Continuous rotation G-CNNs Continuous G-CNNs** (Lie groups) (regular discretizations) (steerable)

> Cesa-Lang-Weiler 2022  $G = \mathbb{R}^d \rtimes H$  with H compact

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## **Group Equivariant Convolutional Networks**

Taco S. Cohen

University of Amsterdam

Max Welling

University of Amsterdam University of California Irvine Canadian Institute for Advanced Research

## Abstract

We introduce Group equivariant Convolutional Neural Networks (G-CNNs), a natural generalization of convolutional neural networks that reduces sample complexity by exploiting symmetries. G-CNNs use G-convolutions, a new type of layer that enjoys a substantially higher degree of weight sharing than regular convolution layers. G-convolutions increase the expressive capacity of the network without increasing the number of parameters. Group convolution layers are easy to use and can be implemented with negligible computational overhead for discrete groups generated by translations, reflections and rotations. G-CNNs achieve state of the art results on CI-FAR10 and rotated MNIST.

## 1. Introduction

Deep convolutional neural networks (CNNs, convnets) have proven to be very powerful models of sensory data such as images, video, and audio. Although a strong theory of neural network design is currently lacking, a large amount of empirical evidence supports the notion that both convolutional weight sharing and depth (among other factors) are important for good predictive performance.

Convolutional weight sharing is effective because there is a translation symmetry in most perception tasks: the label function and data distribution are both approximately invariant to shifts. By using the same weights to analyze or model each part of the image, a convolution layer uses far fewer parameters than a fully connected one, while preserving the capacity to learn many useful transformations.

Proceedings of the 33<sup>rd</sup> International Conference on Machine Learning, New York, NY, USA, 2016. JMLR: W&CP volume 48. Copyright 2016 by the author(s).

Convolution layers can be used effectively in a *deep* network because all the layers in such a network are translation equivariant: shifting the image and then feeding it through a number of layers is the same as feeding the original image through the same layers and then shifting the resulting feature maps (at least up to edge-effects). In other words, the symmetry (translation) is preserved by each layer, which makes it possible to exploit it not just in the first, but also in higher layers of the network.

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In this paper we show how convolutional networks can be generalized to exploit larger groups of symmetries, including rotations and reflections. The notion of equivariance is key to this generalization, so in section 2 we will discuss this concept and its role in deep representation learning. After discussing related work in section 3, we recall a number of mathematical concepts in section 4 that allow us to define and analyze the G-convolution in a generic manner.

In section 5, we analyze the equivariance properties of standard CNNs, and show that they are equivariant to translations but may fail to equivary with more general transformations. Using the mathematical framework from section 4, we can define G-CNNs (section 6) by analogy to standard CNNs (the latter being the G-CNN for the translation group). We show that G-convolutions, as well as various kinds of layers used in modern CNNs, such as pooling, arbitrary pointwise nonlinearities, batch normalization and residual blocks are all equivariant, and thus compatible with G-CNNs. In section 7 we provide concrete implementation details for group convolutions.

In section 8 we report experimental results on MNIST-rot and CIFAR10, where G-CNNs achieve state of the art results (2.28% error on MNIST-rot, and 4.19% resp. 6.46%on augmented and plain CIFAR10). We show that replacing planar convolutions with G-convolutions consistently improves results without additional tuning. In section 9 we provide a discussion of these results and consider several extensions of the method, before concluding in section 10.

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Generalizing Convolutional Neural Networks for Equivarian to Lie Groups on Arbitrary Continuous Data

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## A brief history of G-Children to the Start of St

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## 3D G-CNNs for Pulmonary Nodule Detection

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Convolutional Neural Networks (CNNs) require a large amount of annotated data to learn from, which is often difficult to obtain in the medical domain. In this paper we show that the sample complexity of CNNs can be significantly improved by using 3D roto-translation group convolutions (G-Convs) instead of the more conventional translational convolutions. These 3D G-CNNs were applied to the problem of false positive reduction for pulmonary nodule detection, and proved to be substantially more effective in terms of performance, sensitivity to malignant nodules, and speed of convergence compared to a strong and comparable baseline architecture with regular convolutions, data augmentation and a similar number of parameters. For every dataset size tested, the G-CNN achieved a FROC score close to the CNN trained on ten times more data.

Lung cancer is currently the leading cause of cancer-related death worldwide, accounting for an estimated 1.7 million deaths globally each year and 270,000 in the European Union alone (I; 2) taking more victims than breast cancer, colon cancer and prostate cancer combined (3). This high mortality rate can be largely attributed to the fact that the majority of lung cancer is diagnosed when the cancer has already metastasised as symptoms generally do not present themselves until the cancer is at a late stage, making early detection difficult (4).

Screening of high risk groups could potentially increase early detection and thereby improve the survival rate (5; 6). However, the (cost-) effectiveness of screening would be largely dependent on the skill, alertness and experience evel of the reading radiologists, as potentially malignant lesions are easy to overlook due to the rich vascular structure of the lung (see Figure 1) A way to reduce observational oversights would be to use second readings (7; 8), a practice in

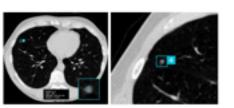


image and combine findings, but this would also drastically add to the already increasing workload of the radiologist (9), and increase the cost of care. Thus, a potentially much more cost-effective and accurate approach would be to introduce computer aided detection (CAD) software as a second reader to assist in the detection of lung nodules (10; 11).

For medical image analysis, deep learning and in particular the Convolutional Neural Network (CNN) has become the methodology of choice. With regards to pulmonary nodule detection specifically, deep learning techniques for candidate generation and false positive reduction unambiguously outperform classical machine learning approaches (12; 13; 14). Convolutional neural networks, however, typically require a substantial amount of labeled data to train on - something that is scarce

Parts of this paper appeared previously in the first author's thesis.

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ance to translations and right angle rotations in three dimensions. We call this network CubeNet, reflecting its cube-like symmetry. By construction, this network helps preserve a 3D shape's global and local signature, as it is transformed through successive layers. We apply this network to a variety of 3D inference problems, achieving state-of-the-art on the ModelNet10 classification challenge, and comparable performance on the ISBI 2012 Connectome Segmentation Benchmark. To the best of our knowledge, this is the first 3D

CubeNet: Equivariance to 3D Rotation

and Translation

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Abstract. 3D Convolutional Neural Networks are sensitive to transformations applied to their input. This is a problem because a voxelized version of a

3D object, and its rotated clone, will look unrelated to each other after passing through to the last layer of a network. Instead, an idealized model would

preserve a meaningful representation of the voxelized object, while explaining

the pose-difference between the two inputs. An equivariant representation

vector has two components: the invariant identity part, and a discernable

encoding of the transformation. Models that can't explain pose-differences

risk "diluting" the representation, in pursuit of optimizing a classification or

We introduce a Group Convolutional Neural Network with linear equivari-

rotation equivariant CNN for voxel representations.

Keywords: Deep Learning, Equivariance, 3D Representations

## 1 Introduction

regression loss function.

computer vision problems. However, most popularized CNNs are treated as bla boxes, lacking interpretability and simple properties concerning the data doma in any intermediate layer is sensitive to local pose, and ultimately the global out on an efficient and realistic data augmentation pipeline. There is also the argumentation

Generalizing Convolutional Neural Networks for Equivarian to Lie Groups on Arbitrary Continuous Data

Learning SO(3) Equivariant

D. Worrall and G. Brostow

Oriented Response Network

Fig. 2. (Best viewed in color) Left: The 24 rotations of the cube group  $S_4$ , applied to the a cube  $\mathbf{F}_{\mathbf{x}}$  are shown. For instance, rotation  $g_{22}$  applied to the cube returns  $\mathbf{F}_{q_{\infty}^{-1}\mathbf{x}}$ , Convolutional neural networks (CNNs) are the go-to model for most prediction-ba shown by the #22 in the bottom row. The 12 cubes wrapped in thin blue boxes are the rotational tetrahedral group  $T_4$ . The 4 cubes wrapped in thick dashed red lines are the Klein they act on. For instance, in 3D object recognition, we know that object categories four-group V. RIGHT: The Cayley table of the cube group, representing how rotations are invariant to object pose, but convolutional neural network filters are orientation, so composed. For instance, on the BOTTOM LEFT, we have the example of composing rotation reflection, and parity (point reflection) selective. This means that every activat  $g_7$  with rotation  $g_1$ . The composition is performed by i) first applying  $g_7$  to the cube to of the network is too. A simple solution to obtain this sought-after invariance is yield  $\mathbf{F}_{g_7^{-1}\mathbf{x}}$  then ii) applying  $g_1$  to  $\mathbf{F}_{g_7^{-1}\mathbf{x}}$ , returning  $\mathbf{F}_{g_1^{-1}g_7^{-1}\mathbf{x}}$ . The first transformation is augment the input data with transformed copies, spanning all possible variative easy to visualize - it is by #7 in the grid of cubes. The transformation  $g_1$  is a rotation by to which we seek to be invariant [2]. This method is simple and effective, but re  $90^{\circ}$  counter-clockwise about the vertical axis, thus for the composition we rotate  $\mathbf{F}_{q_{\pi}^{-1}\mathbf{x}}$   $90^{\circ}$ counter-clockwise about the z-axis. This results in  $F_{g_8^{-1}x}$ . This result is stored in the Cayley table by placing the first rotation down the left column and the second rotation along the Cesa-Lang-Weiler 2022 top row. The intersection of row 7 with column 1 is the rotation 8. On the BOTTOM RIGHT, we show the composition  $g_7g_1 = g_{17} \neq g_8 = g_1g_7$ , demonstrating the non-commutativity

 $G = \mathbb{R}^d \rtimes H$  with H compa property of the cube group and 3D rotations in general.

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Figure 3: Cayley diagram for O. Red arrows correspond to Z-axis rotation, whereas blue arrows correspond to rotation around a diagonal axis. Best viewed in color.

https://quva-lab.github.io/escnn/

https://github.com/Chen-Cai-OSU/awesome-equivariant-network

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Published as a conference paper at ICLR 2018

## HEXACONV

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## ABSTRACT

The effectiveness of convolutional neural networks stems in large part from their ability to exploit the translation invariance that is inherent in many learning problems. Recently, it was shown that CNNs can exploit other sources of invariance, such as rotation invariance, by using group convolutions instead of planar convolutions. However, for reasons of performance and case of implementation, it has been necessary to limit the group convolution to transformations that can be applied to the filters without interpolation. Thus, for images with square pixels, only integer translations, rotations by multiples of 90 degrees, and reflections are

Whereas the square tiling provides a 4-fold rotational symmetry, a hexagonal tiling of the plane has a 6-fold rotational symmetry. In this paper we show how one can efficiently implement planar convolution and group convolution over hexagonal lattices, by re-using existing highly optimized convolution routines. We find that, due to the reduced anisotropy of hexagonal filters, planar HexaConv provides better accuracy than planar convolution with square filters, given a fixed parameter budget. Furthermore, we find that the increased degree of symmetry of the hexagonal grid increases the effectiveness of group convolutions, by allowing for more parameter sharing. We show that our method significantly outperforms conventional CNNs on the AID aerial scene classification dataset, even outperforming ImageNet pretrained models.

## 1 Introduction

For sensory perception tasks, neural networks have mostly replaced handcrafted features. Instead of defining features by hand using domain knowledge, it is now possible to learn them, resulting in improved accuracy and saving a considerable amount of work. However, successful generalization is still critically dependent on the inductive bias encoded in the network architecture, whether this bias is understood by the network architect or not.

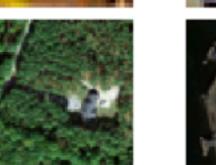
The canonical example of a successful network architecture is the Convolutional Neural Network (CNN, ConvNet). Through convolutional weight sharing, these networks exploit the fact that a given visual pattern may appear in different locations in the image with approximately equal likelihood. Furthermore, this translation symmetry is preserved throughout the network, because a translation of the input image leads to a translation of the feature maps at each layer: convolution is translation

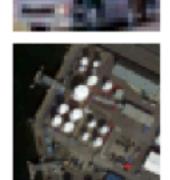
Very often, the true label function (the mapping from image to label that we wish to learn) is invariant to more transformations than just translations. Rotations are an obvious example, but standard translational convolutions cannot exploit this symmetry, because they are not rotation equivariant. As it turns out, a convolution operation can be defined for almost any group of transformation — not just translations. By simply replacing convolutions with group convolutions (wherein filters are not

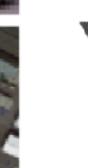
(b) Double width (a) Axial 2018 (c) Offset (even rows) (d) Offset (odd rows) **II-Brostow** crete roto- Figure 4: Hexagonal convolution filters (left) represented in 2D memory (right) for filters of size three (blue) and five (blue and green). Standard 2D convolution using both feature map and filter stored according to the coordinate system is equivalent to convolution on the hexagonal lattice. Note INs that for the offset coordinate system two separate planar convolution are required — one for even Cohen et a and one for odd rows. SE(3) Lie groups (rotation, scale)

Kondor-Trivedi 2018 SE(3) Finzi at al 2020

(a) Original example images











(b) Hexagonal sampled images

Figure 6: CIFAR-10 (top) and AID (bottom) examples sampled from Cartesian to hexagonal axial coordinates. Zero padding enlarges the images in axial systems.

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<sup>\*</sup>Equal contribution

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Weiler et al. 2021 Coordinate Independent CNNs

de Haan et al. 2020

Finzi et al. 2020

Lie groups

Bekkers 2019

Meshes

**Continuous G-CNNs** (Lie groups)

Sosnovik et al. 2020

Scale-translation

**Continuous rotation G-CNNs** (steerable)

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Bekkers et al. 2014-2018

SE(2)

Weiler et al. 2017

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outputs are invariant under a discrete set of rotations.

construction of the G-CNNs we adhere to the following principle

ing layer (Eq. (7)) and followed by one or more group convolu-

tion layers (Eq. (9)), possibly intertwined with point-wise non-

of the G-CNN layers is available at https://github.com/tueimage/

G-CNN design principle A sequence of layers starting with a lift-

may require test-time rotation augmentation to reach their full capability.

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when using the proposed framework.

linearities, results in the encoding of roto-translation equivariant feature maps. If such a block is followed by a projection layer (Eq. (10)) then the entire block results in a encoding of features that is guaranteed to be rotationally invariant. Our implementation

Rotation-invariance is a desired property of machine-learning models for medical image analysis and in

Roto-translation equivariant convolutional networks: Application to histopathology image analysis

Maxime W. Lafarge \*, Erik J. Bekkers , Josien P.W. Pluim Remco Duits , Mitko Veta Gauge-6 Department of Biomedical Engineering, Eindhoven University of Technology, Eindhoven, the Netherlands

ABSTRACT

Department of Mathematics and Computer Science, Eindhoven University of Technology, Eindhoven, the Netherlands

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Article history: Received 4 December 2019 Revised 3 June 2020 Accepted 14 August 2020 Available online 31 October 2020

Keywords:

Croup convolutional neural network Roto-translation equivariance Computational pathology Mitosis detection Tumoc detection Nuclei segmentation

This study is focused on histopathology image analysis applications for which it is desirable that the arbitrary global orientation information of the imaged tissues is not captured by the machine learning models. The proposed framework is evaluated on three different histopathology image analysis tasks (mitosis

particular for computational pathology applications. We propose a framework to encode the geometric

structure of the special Euclidean motion group SE(2) in convolutional networks to yield translation and

rotation equivariance via the introduction of SE(2)-group convolution layers. This structure enables mod-

els to learn feature representations with a discretized orientation dimension that guarantees that their

Conventional approaches for rotation invariance rely mostly on data augmentation, but this does not

guarantee the robustness of the output when the input is rotated. At that, trained conventional CNNs

omparative analysis for each problem

Medical Image Analysis 68 (2021) 101849

Author(s). Published by Elsevier B.V. experiments that we used to analyze and validate them. In the

## 4.1. Applications and model architectures

of group equivariant architecture design.

For each task introduced in Section 3.1 we conducted two experiments: first, we trained a set of variations of a baseline CNN. by changing the orientation sampling level N of their SE(2,N) layers, while keeping the total number of weights of each model approximately the same. Second, we trained each model with the reduced data regime counterparts of the training sets introduced in Section 3.1. For each task we opted for versions of straight-forward architectures with a low number of parameters that were in-line with methods reported in the literature. This way, we propose new G-CNN baselines that facilitate comparative experiments and that can be extended to more sophisticated architectures.

Mitosis detection We used the mitosis classification model orig-

## Roto-Translation Covariant Convolutional Networks for Medical Image Analysis

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**Abstract.** We propose a framework for rotation and translation covariant deep learning using SE(2) group convolutions. The group product of the special Euclidean motion group SE(2) describes how a concatenation of two roto-translations results in a net roto-translation. We encode this geometric structure into convolutional neural networks (CNNs) via SE(2) group convolutional layers, which fit into the standard 2D CNN framework, and which allow to generically deal with rotated input samples without the need for data augmentation.

We introduce three layers: a *lifting layer* which lifts a 2D (vector valued) image to an SE(2)-image, i.e., 3D (vector valued) data whose domain is SE(2); a group convolution layer from and to an SE(2)-image; and a projection layer from an SE(2)-image to a 2D image. The lifting and group convolution layers are SE(2) covariant (the output roto-translates with the input). The final projection layer, a maximum intensity projection

over rotations, makes the full CNN rotation *invariant*. We show with three different problems in histopathology, retinal imaging, and electron microscopy that with the proposed group CNNs, state-ofthe art performance can be achieved, without the need for data augmentation by rotation and with increased performance compared to standard CNNs that do rely on augmentation.

Keywords: Group convolutional network, roto-translation group, mitosis detection, vessel segmentation, cell boundary segmentation

## 1 Introduction

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arXiv:1804.03393v

In this work we generalize  $\mathbb{R}^2$  convolutional neural networks (CNNs) to SE(2)group CNNs (G-CNNs) in which the data lives on position orientation space, and in which the convolution layers are defined in terms of representations of the special Euclidean motion group SE(2). In essence this means that we replace the convolutions (with translations of a kernel) by SE(2) group convolutions (with roto-translations of a kernel). The advantage of the proposed approach compared to standard  $\mathbb{R}^2$  CNNs is that rotation covariance is encoded in the network design and does not have to be learned by the convolution kernels. E.g., a feature that may appear in the data under several orientations does not have

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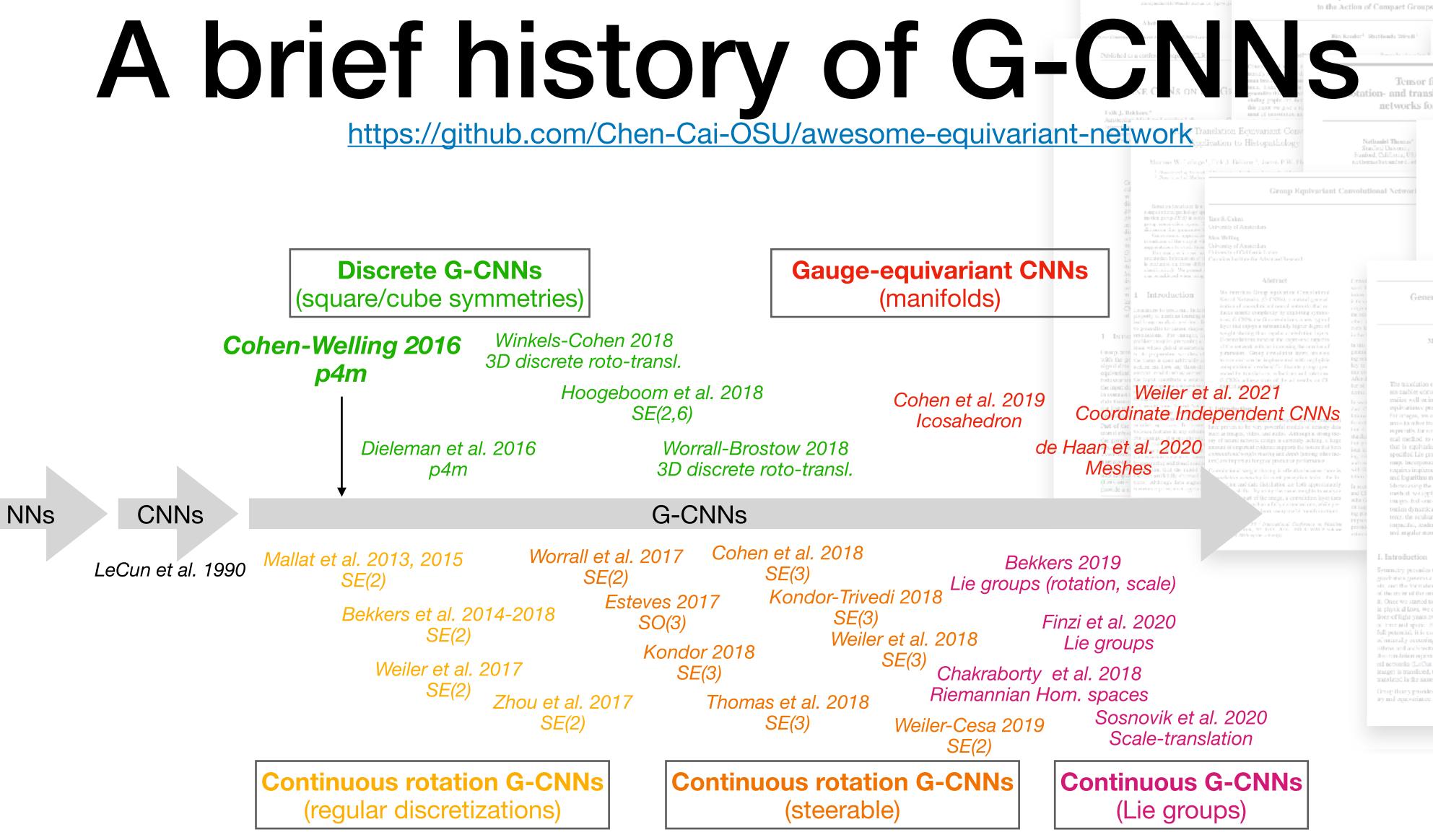
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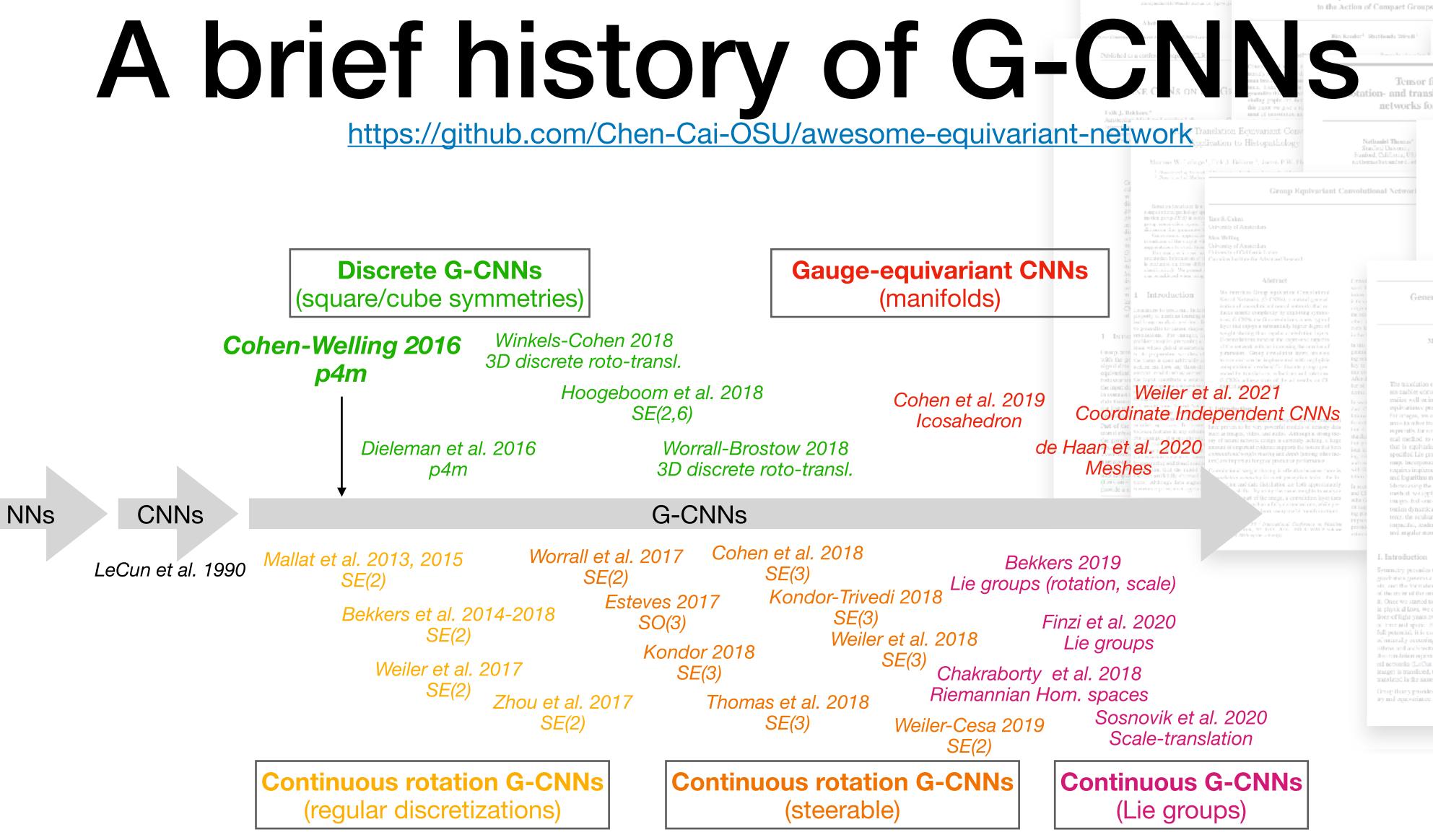
Fig. 2. Illustration of the process generating a rotated set of effective kernels from a trainable vector of base weights via the introduction of fixed interpolation matrix



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Learning SO(3) Equivariant



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Learning SO(3) Equivariant

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## Harmonic Networks: Deep Translation and Rotation Equivariance

Daniel E. Worrall, Stephan J. Garbin, Daniyar Turmukhambetov and Gabriel J. Brostow {d.worrall, s.garbin, d.turmukhambetov, g.brostow}@cs.ucl.ac.uk University College London\*

## Abstract

Translating or rotating an input image should not affect the results of many computer vision tasks. Convolutional neural networks (CNNs) are already translation equivariant: input image translations produce proportionate feature map translations. This is not the case for rotations. Global rotation equivariance is typically sought through data augmentation, but patch-wise equivariance is more difficult. We present Harmonic Networks or H-Nets, a CNN exhibiting equivariance to patch-wise translation and 360-rotation. We achieve this by replacing regular CNN filters with circular harmonics, returning a maximal response and orientation for every receptive field patch.

H-Nets use a rich, parameter-efficient and fixed computational complexity representation, and we show that deep feature maps within the network encode complicated rotational invariants. We demonstrate that our layers are general enough to be used in conjunction with the latest architectures and techniques, such as deep supervision and botch normalization. We also achieve state-of-the-art classification on rotated-MNIST, and competitive results on other benchmark challenges.

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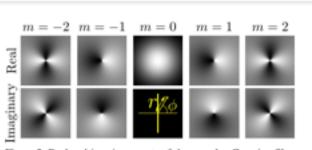
Figure 1. Patch-wise translation equivariance in CNNs arises from translational weight tying, so that a translation  $\pi$  of the input image I, leads to a corresponding translation  $\psi$  of the feature maps  $f(\mathbf{I})$ , where  $\pi \neq \psi$  in general, due to pooling effects. However, for rotations, CNNs do not yet have a feature space transformation  $\psi$  'hard-baked' into their structure, and it is complicated to discover what  $\psi$  may be, if it exists at all. Harmonic Networks have a hard-baked representation, which allows for easier interpretation of feature maps—see Figure 3.

consider detecting a deformable object, such as a butterfly. The pose of the wings is limited in range, and so there are only certain poses our detector should normally see. A transformation invariant detector, good at detecting wings, would detect them whether they were bigger, further apart, rotated, etc., and it would encode all these cases with the same representation. It would fail to notice nonsense situations, however, such as a butterfly with wings rotated past the usual range, because it has thrown that extra pose information away. An equivariant detector, on the other hand, does not dispose of local pose information, and so it hands on a richer and more useful representation to downstream processes. Equivariance conveys more information about an input to downstream processes, it also constrains the space of possible learned models to those that are valid under the rules of natural image formation [30]. This makes learning more reliable and helps with generalization. For instance, consider CNNs. The key insight is that the statistics of natural images, embodied in the correlations between pixels, are a) invariant to translation, and b) highly localized. Thus features at every layer in a CNN are computed on local receptive fields, where weights are shared

NNs

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## 1. Introduction

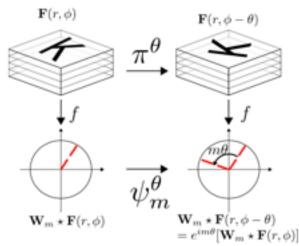


 $W_m(r,\phi';e^{-r^2},0) = e^{-r^2}e^{im\phi}$ , for some rotation orders. As a simple example, we have set  $R(r) = e^{-r^2}$  and  $\beta = 0$ , but in general we learn these quantities. Cross-correlation, of a feature map of rotation order n with one of these filters of rotation order m, results in a feature map of rotation order m+n. Note the negative rotation order filters have flipped imaginary parts compared to the positive orders.

feature maps, which live in a discrete domain. We shall instead use continuous spaces, because the analysis is easier. Later on in Section 4.2 we shall demonstrate how to convert back to the discrete domain for practical implementation, but for now we work entirely in continuous Euclidean space.

## 3.1. Equivariance

Equivariance is a useful property to have because transforma-



a scalar complex-valued response. ACROSS-THEN-DOWN: Crosscorrelation with the  $\theta$ -rotated image yields another complex-valued response. BOTTOM: We transform from the unrotated response to the rotated response, through multiplication by  $e^{im\theta}$ .

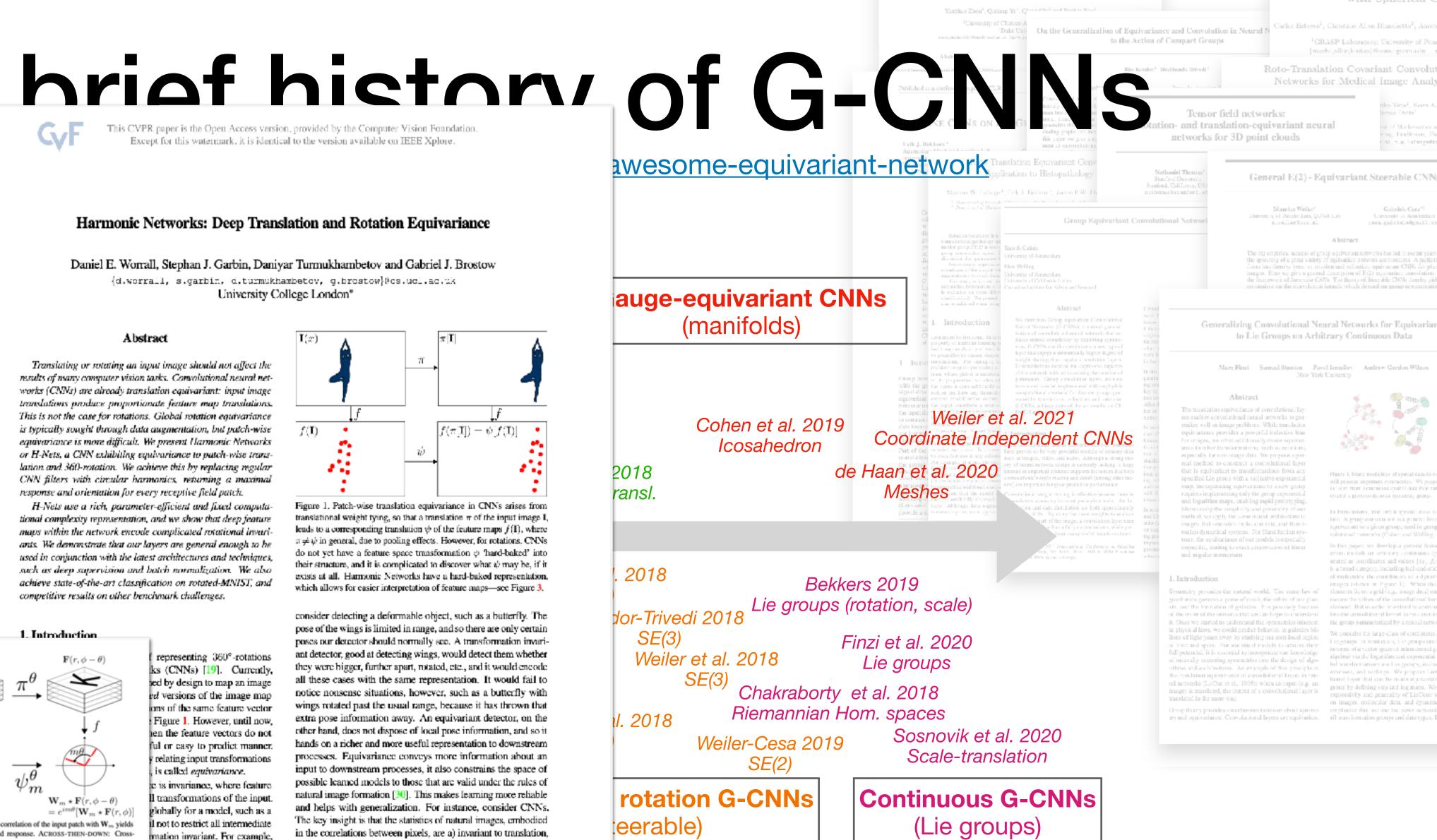
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Oriented Response Networks

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Oriented Response Networks

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Harmonic Networks: Deep Translation and Rotation Equivariance

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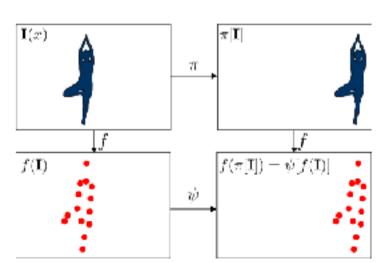


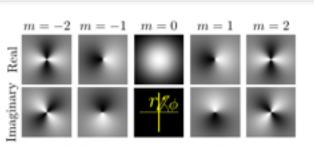
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## 1. Introduction

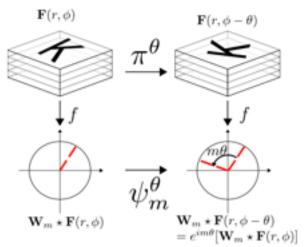


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3D Steerable CNNs: Learning Rotationally **Equivariant Features in Volumetric Data** 

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## Abstract

We present a convolutional network that is equivariant to rigid body motions. The model uses scalar-, vector-, and tensor fields over 3D Euclidean space to represent data, and equivariant convolutions to map between such representations. These SE(3)-equivariant convolutions utilize kernels which are parameterized as a linear combination of a complete steerable kernel basis, which is derived analytically in this paper. We prove that equivariant convolutions are the most general equivariant linear maps between fields over  $\mathbb{R}^3$ . Our experimental results confirm the effectiveness of 3D Steerable CNNs for the problem of amino acid propensity prediction and protein structure classification, both of which have inherent SE(3) symmetry.

## 1 Introduction

Increasingly, machine learning techniques are being applied in the natural sciences. Many problems in this domain, such as the analysis of protein structure, exhibit exact or approximate symmetries. It has long been understood that the equations that define a model or natural law should respect the symmetries of the system under study, and that knowledge of symmetries provides a powerful constraint on the space of admissible models. Indeed, in theoretical physics, this idea is enshrined as a fundamental principle, known as Einstein's principle of general covariance. Machine learning, which is, like physics, concerned with the induction of predictive models, is no different: our models must respect known symmetries in order to produce physically meaningful results.

A lot of recent work, reviewed in Sec. 2, has focused on the problem of developing equivariant networks, which respect some known symmetry. In this paper, we develop the theory of SE(3)equivariant networks. This is far from trivial, because SE(3) is both non-commutative and noncompact. Nevertheless, at run-time, all that is required to make a 3D convolution equivariant using our method, is to parameterize the convolution kernel as a linear combination of pre-computed steerable basis kernels. Hence, the 3D Steerable CNN incorporates equivariance to symmetry transformations without deviating far from current engineering best practices.

The architectures presented here fall within the framework of Steerable G-CNNs [8, 10, 40, 45], which represent their input as fields over a homogeneous space ( $\mathbb{R}^3$  in this case), and use steerable

Source code is available at https://github.com/mariogeiger/se3cnn

32nd Conference on Neural Information Processing Systems (NeurIPS 2018), Montréal, Canada.

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<sup>\*</sup> Equal Contribution. MG initiated the project, derived the kernel space constraint, wrote the first network implementation and ran the Shree17 experiment. MW solved the kernel constraint analytically, designed the unti-aliased kernel sampling in discrete space and coded / run many of the CATH experiments.

Published as a conference paper at ICLR 2022

## A PROGRAM TO BUILD E(n)-EQUIVARIANT STEERABLE CNNs

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Maurice Weiler University of Amsterdam University of Amsterdam m.weiler.ml@gmail.com

## ABSTRACT

Equivariance is becoming an increasingly popular design choice to build data efficient neural networks by exploiting prior knowledge about the symmetries of the problem at hand. Euclidean steerable CNNs are one of the most common classes of equivariant networks. While the constraints these architectures need to satisfy are understood, existing approaches are tailored to specific (classes of) groups. No generally applicable method that is practical for implementation has been described so far. In this work, we generalize the Wigner-Eckart theorem proposed in Lang & Weiler (2020), which characterizes general G-steerable kernel spaces for compact groups G over their homogeneous spaces, to arbitrary G-spaces. This enables us to directly parameterize filters in terms of a band-limited basis on the whole space rather than on G's orbits, but also to easily implement steerable CNNs equivariant to a large number of groups. To demonstrate its generality, we instantiate our method on a variety of isometry groups acting on the Euclidean space  $\mathbb{R}^3$ . Our framework allows us to build  $\mathrm{E}(3)$  and  $\mathrm{SE}(3)$ -steerable CNNs like previous works, but also CNNs with arbitrary  $G \leq O(3)$ -steerable kernels. For example, we build 3D CNNs equivariant to the symmetries of platonic solids or choose G = SO(2)when working with 3D data having only azimuthal symmetries. We compare these models on 3D shapes and molecular datasets, observing improved performance by matching the model's symmetries to the ones of the data.

## 1 Introduction

In machine learning, it is common for learning tasks to present a number of *symmetries*. A symmetry in the data occurs, for example, when some property (e.g., the label) does not change if a set of transformations is applied to the data itself, e.g. translations or rotations of images. Symmetries are algebraically described by groups. If prior knowledge about the symmetries of a task is available, it is usually beneficial to encode them in the models used (Shawe-Taylor, 1989; Cohen & Welling) 2016a). The property of such models is referred to as equivariance and is obtained by introducing some equivariance constraints in the architecture (see Eq. 2). A classical example are convolutional neural networks (CNNs), which achieve translation equivariance by constraining linear layers to be convolution operators. A wider class of equivariant models are Euclidean steerable CNNs (Cohen & Welling, 2016b; Weiler et al., 2018a; Weiler & Cesa, 2019; Jenner & Weiler, 2022), which guarantee equivariance to isometries  $\mathbb{R}^n \rtimes G$  of a Euclidean space  $\mathbb{R}^n$ , i.e., to translations and a group G of origin-preserving transformations, such as rotations and reflections. As proven in Weiler et al. (2018a) 2021); Jenner & Weiler (2022), this requires convolutions with G-steerable (equivariant) kernels.

Our goal is developing a program to parameterize with minimal requirements arbitrary G-steerable. kernel spaces, with compact G, which are required to implement  $\mathbb{R}^n \rtimes G$  equivariant CNNs. Lang & Weiler (2020) provides a first step in this direction by generalizing the Wigner-Eckart theorem from quantum mechanics to obtain a general technique to parametrize G-steerable kernel spaces over orbits of a compact G. The theorem reduces the task of building steerable kernel bases to that of finding some pure representation theoretic ingredients. Since the equivariance constraint only relates points  $g.x \in$  $\mathbb{R}^n$  in the same *orbit*  $G.x \subset \mathbb{R}^n$ , a kernel can take independent values on different orbits. Fig. 1 shows

Learning SO(3) Equivariant Oriented Response Networks

## General E(2)-Equivariant Steerable CNNs

## Documentation | Experiments | Paper | Thesis

e2cnn is a PyTorch extension for equivariant deep learning.

Equivariant neural networks guarantee a specified transformation behavior of their feature spaces under transformations of their input. For instance, classical convolutional neural networks (CNNs) are by design equivariant to translations of their input. This means that a translation of an image leads to a corresponding translation of the network's feature maps. This package provides implementations of neural network modules which are equivariant under all isometries E(2) of the image plane  $\mathbb{R}^2$ , that is, under translations, rotations and reflections. In contrast to conventional CNNs, E(2)-equivariant models are guaranteed to generalize over such transformations, and are therefore more data efficient.

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e2cnn is easy to use since it provides a high level user interface which abstracts most intricacies of group and representation theory away. The following code snippet shows how to perform an equivariant convolution from an RGB-image to 10 regular feature fields (corresponding to a group convolution).

```
from e2cnn import gspaces
from e2cnn import nn
import torch
r2_act = gspaces.Rot2dOnR2(N=8)
feat_type_in = nn.FieldType(r2_act, 3*[r2_act.trivial_repr])
feat_type_out = nn.FieldType(r2_act, 10*[r2_act.regular_repr])
conv = nn.R2Conv(feat_type_in, feat_type_out, kernel_size=5)
relu = nn.ReLU(feat_type_out)
                                                                   # 10
                                                                   # 11
x = torch.randn(16, 3, 32, 32)
                                                                   # 12
x = nn.GeometricTensor(x, feat_type_in)
                                                                   # 13
                                                                   # 14
y = relu(conv(x))
                                                                   # 15
```

Line 5 specifies the symmetry group action on the image plane  $\mathbb{R}^2$  under which the network should be equivariant. We choose the cyclic group  $C_8$ , which describes discrete rotations by multiples of  $2\pi/8$ . Line 6 specifies the input feature field types. The three color channels of an RGB image are thereby to be identified as three independent scalar fields, which transform under the trivial representation of C<sub>8</sub>. Similarly, the output feature space is in line 7 ntips://guva-lab.github.lo/eschil/

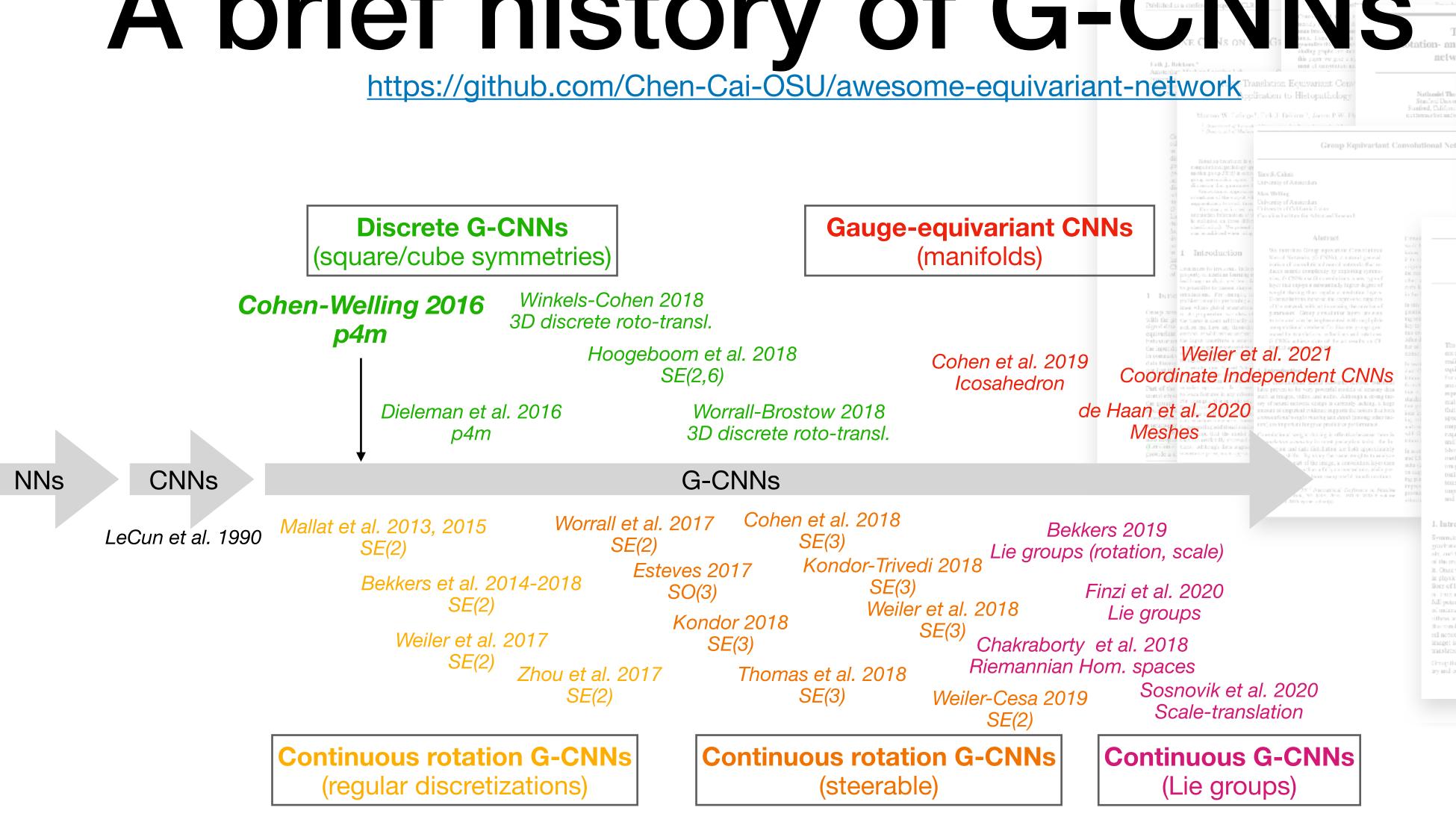
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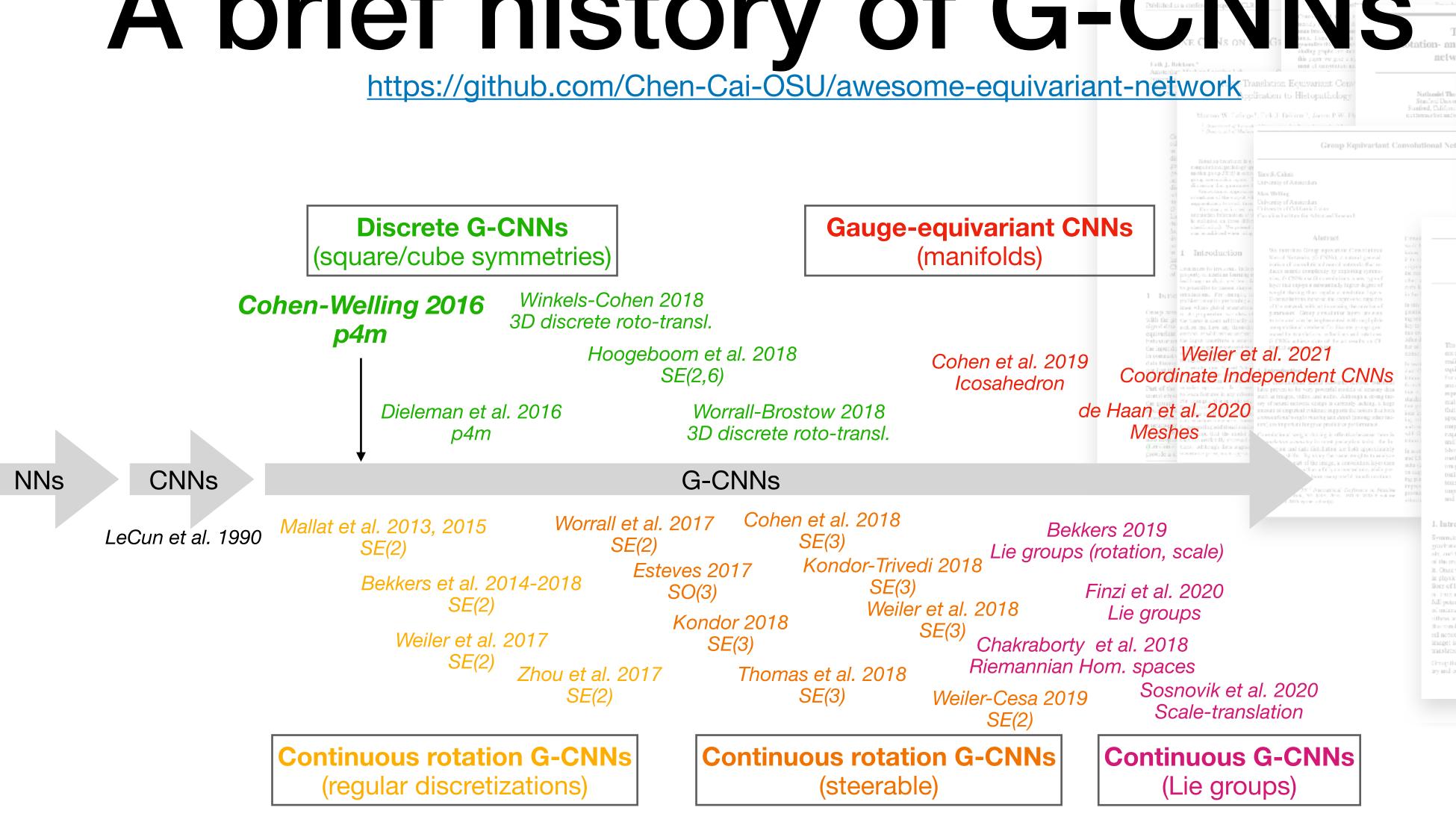
<sup>\*</sup>Qualcomm Al Research is an initiative of Qualcomm Technologies, Inc.



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Learning SO(3) Equivariant

## Ce paper at ICLR 2020

Published as a conference paper at ICLR 2020

## **B-SPLINE CNNS ON LIE GROUPS**

Erik J. Bekkers

Centre for Analysis and Scientific Computing Department of Applied Mathematics and Computer Science Eindhoven University of Technology, Eindhoven, the Netherlands e.j.bekkers@tue.nl

## ABSTRACT

Group convolutional neural networks (G-CNNs) can be used to improve classical CNNs by equipping them with the geometric structure of groups. Central in the success of G-CNNs is the lifting of feature maps to higher dimensional disentangled representations in which data characteristics are effectively learned, geometric data-augmentations are made obsolete, and predictable behavior under geometric transformations (equivariance) is guaranteed via group theory. Currently, however, the practical implementations of G-CNNs are limited to either discrete groups (that leave the grid intact) or continuous compact groups such as rotations (that enable the use of Fourier theory). In this paper we lift these limitations and propose a modular framework for the design and implementation of G-CNNs for arbitrary Lie groups. In our approach the differential structure of Lie groups is used to expand convolution kernels in a generic basis of B-splines that is defined on the Lie algebra. This leads to a flexible framework that enables localized, atrous, and deformable convolutions in G-CNNs by means of respectively localized, sparse and non-uniform B-spline expansions. The impact and potential of our approach is studied on two benchmark datasets: cancer detection in histopathology slides in which rotation equivariance plays a key role and facial landmark localization in which scale equivariance is important. In both cases, G-CNN architectures outperform their classical 2D counterparts and the added value of atrous and localized group convolutions is studied in detail.

## 1 Introduction

Group convolutional neural networks (G-CNNs) are a class of neural networks that are equipped with the geometry of groups. This enables them to profit from the structure and symmetries in signal data such as images (Cohen & Welling, 2016). A key feature of G-CNNs is that they are equivariant with respect to transformations described by the group, i.e., they guarantee predictable behavior under such transformations and are insensitive to both local and global transformations on the input data. Classical CNNs are a special case of G-CNNs that are equivariant to translations and, in contrast to unconstrained NNs, they make advantage of (and preserve) the basic structure of signal data throughout the network (LeCun et al., 1990). By considering larger groups (i.e. considering not just translation equivariance) additional geometric structure can be utilized in order to improve performance and data efficiency (see G-CNN literature in Sec. 2).

Part of the success of G-CNNs can be attributed to the lifting of feature maps to higher dimensional objects that are generated by matching kernels under a range of poses (transformations in the group). This leads to a disentanglement with respect to the pose and together with the group structure this enables a flexible way of learning high level representations in terms of low-level activated neurons observed in specific configurations, which we conceptually illustrate in Fig. 1. From a neuro-psychological viewpoint, this resembles a hierarchical composition from low- to high-level features akin to the recognition-by-components model by Biederman (1987), a viewpoint which is also adopted in work on capsule networks (Hinton et al., 2011; Sabour et al., 2017). In particular in (Lenssen et al., 2018) the group theoretical connection is made explicit with equivariant capsules that provide a sparse index/value representation of feature maps on groups (Gens & Domingos, 2014).

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**G-CNNs** 

Kondor 2018

SE(3)

Cohen et al. 2019 Icosahedron

de Haan et al. 2020

Meshes

Weiler et al. 2021

Coordinate Independent CNNs

Bekkers 2019 Lie groups (rotation, scale) Kondor-Trivedi 2018

> SE(3) Finzi et al. 2020 Weiler et al. 2018 Lie groups

Chakraborty et al. 2018 Riemannian Hom. spaces Thomas et al. 2018

> Weiler-Cesa 2019 SE(2)

> > **Continuous G-CNNs** (Lie groups)

Sosnovik et al. 2020

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Oriented Response Networks

Learning SO(3) Equivariant

Generalizing Convolutional Neural Networks for Equivarian to Lie Groups on Arbitrary Continuous Data

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map elements from curved manifolds such as the 2-sphere to a flat Euclidean tangent space. For Lie groups the Logmap is analytic, globally defined, and it provides us with a flexible tool to define group convolution kernels via B-splines. In our Lie group context the 2-sphere is treated as the quotient SO(3)/SO(2). Technical details are

given in Sec. 3 and App. B.

Figure 2: The Log-map allows us to

compact

https://github.com/Chen-Cai-OSU/awesome-equivariant-network **Discrete G-CNNs Gauge-equivariant CNNs** (square/cube symmetries) (manifolds) Winkels-Cohen 2018 Cohen-Welling 2016 3D discrete roto-transl. p4m Hoogeboom et al. 2018 Weiler et al. 2021 Cohen et al. 2019 Coordinate Independent CNNs SE(2,6) Icosahedron de Haan et al. 2020 Dieleman et al. 2016 Worrall-Brostow 2018 Meshes 3D discrete roto-transl. p4m **CNNs** G-CNNs NNs Cohen et al. 2018 Worrall et al. 2017 Mallat et al. 2013, 2015 Bekkers 2019 LeCun et al. 1990 SE(3) Lie groups (rotation, scale) Kondor-Trivedi 2018 Esteves 2017 Bekkers et al. 2014-2018 SE(3) Finzi et al. 2020 SO(3) SE(2) Weiler et al. 2018 Lie groups Kondor 2018 Weiler et al. 2017 Chakraborty et al. 2018 Riemannian Hom. spaces Zhou et al. 2017 Thomas et al. 2018 Sosnovik et al. 2020 *SE*(2) SE(3) Weiler-Cesa 2019 Scale-translation SE(2) **Continuous rotation G-CNNs Continuous rotation G-CNNs Continuous G-CNNs** (Lie groups) (regular discretizations) (steerable) Cesa-Lang-Weiler 2022

 $G = \mathbb{R}^d \rtimes H$  with H compact

Learning SO(3) Equivariant

Generalizing Convolutional Neural Networks for Equiva

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 $G = \mathbb{R}^d \rtimes H$  with H compact

Learning SO(3) Equivariant

## COORDINATE INDEPENDENT CONVOLUTIONAL NETWORKS

ISOMETRY AND GAUGE EQUIVARIANT CONVOLUTIONS ON RIEMANNIAN MANIFOLDS

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Erik Verlinde University of Amsterdam e.p.verlinde@uva.nl

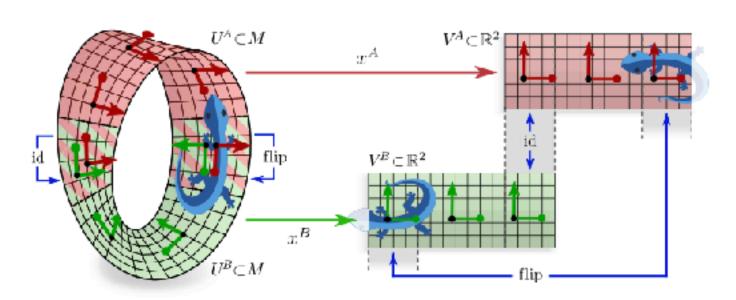
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Patrick Forré

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## ABSTRACT

Motivated by the vast success of deep convolutional networks, there is a great interest in generalizing convolutions to non-Euclidean manifolds. A major complication in comparison to flat spaces is that it is unclear in which alignment a convolution kernel should be applied on a manifold. The underlying reason for this ambiguity is that general manifolds do not come with a canonical choice of reference frames (gauge). Kernels and features therefore have to be expressed relative to arbitrary coordinates. We argue that the particular choice of coordinatization should not affect a network's inference - it should be coordinate independent. A simultaneous demand for coordinate independence and weight sharing is shown to result in a requirement on the network to be equivariant under local gauge transformations (changes of local reference frames). The ambiguity of reference frames depends thereby on the G-structure of the manifold, such that the necessary level of gauge equivariance is prescribed by the corresponding structure group G. Coordinate independent convolutions are proven to be equivariant w.r.t. those isometries that are symmetries of the *G*-structure. The resulting theory is formulated in a coordinate free fashion in terms of fiber bundles. To exemplify the design of coordinate independent convolutions, we implement a convolutional network on the Möbius strip. The generality of our differential geometric formulation of convolutional networks is demonstrated by an extensive literature review which explains a large number of Euclidean CNNs, spherical CNNs and CNNs on general surfaces as specific instances of coordinate independent convolutions.



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**Continuous** 

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G-CNNs

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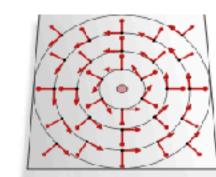
SE(3)

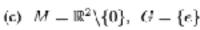
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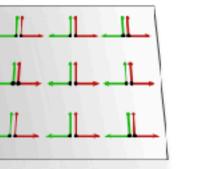


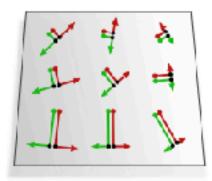
(b)  $M = \mathbb{R}^2$ ,  $G = \{e\}$ 

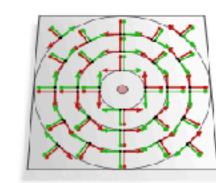
Oriented Response Networks

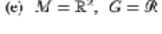


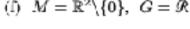








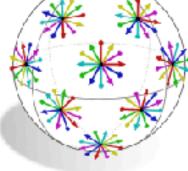






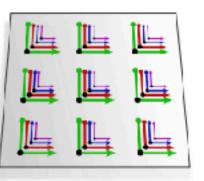
(d) M = ℝ², G = ℜ



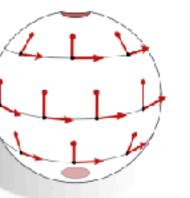


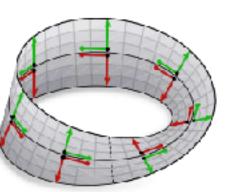


(g)  $M = \mathbb{R}^2$ , G = SO(2)







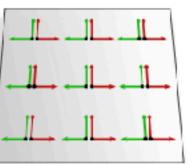


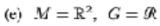
(I)  $M = M\ddot{o}bius$ ,  $G = \mathcal{R}$ 

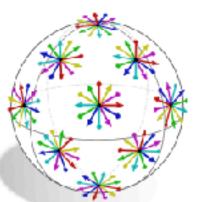
## (j) $M = \mathbb{R}^2$ , $G = \mathcal{S}$ (k) $M = S^2 \setminus \text{poles}, G = \{e\}$

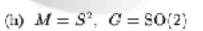
Figure 5: Exemplary G-structures GM for different structure groups G and on different manifolds M. The structure group G signals which values gauge transformations can take, and therefore how "big" the subset of distinguished frames at each point p is. Fig. 5a shows the canonical  $\{e\}$ -structure (frame field) of  $\mathbb{R}^2$ , which corresponds to conventional Euclidean CNNs. The G-structures in Figs. 5d, 5g and 5j are constructed by adding reflected  $(G = \mathcal{R})$ , rotated (G = SO(2)) and scaled (G = S) frames, respectively. The corresponding GM-convolutions are not only translation equivariant but equivariant under the action of affine groups Aff(G). G structures are usually not unique. Figs. 5b and 5e show alternative G structures on  $\mathbb{R}^2$  (corresponding to an alternative metric relative to which their frames are orthonormal). They might not be practically relevant but demonstrate the flexibility of our framework. The  $\{e\}$ -structure in Fig. 5c corresponds to polar coordinates. As G-structures are required to be continuous, we removed the origin 0 where polar coordinates are singular. One can once again define an R-structure by adding reflected frames as shown in Fig. 5f. These G-structures model convolutions on  $\mathbb{R}^2 \setminus \{0\}$  which are SO(2) and O(2)-equivariant but not translation equivariant. Fig. 5h shows the usual SO(2)-structure on the embedded 2-sphere  $S^2$ , which is underlying SO(3)-equivariant spherical CNNs. Another popular choice is the  $\{e\}$ -structure in Fig. 5k, which is induced by spherical coordinates. Note that this  $\{e\}$ -structure would be singular at the poles, which are therefore cut out. Continuous (i.e. non-singular) reductions of the structure group beyond SO(2) are on the sphere topologically obstructed. G-steerable kernels with  $G \geq SO(2)$  are therefore strictly necessary for continuous convolutions on topological spheres like the mesh in Fig. 5i. Fig. 5l shows an  $\mathcal{R}$ -structure on the Möbius strip. As the Möbius strip is non-orientable, it does not admit a continuous reduction of the structure group beyond the reflection group  $G = \mathcal{R}$ .

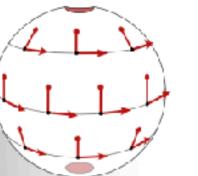
(a)  $M = \mathbb{R}^2$ ,  $G = \{e\}$ 

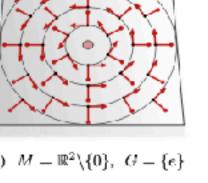


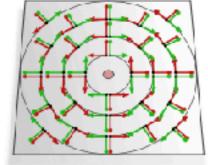








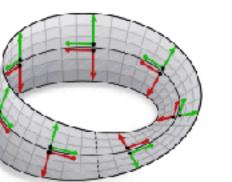




(f)  $M = \mathbb{R}^2 \setminus \{0\}, G = \mathcal{R}$ 



(i) M = "Suzanne", G = SO(2)



Learning SO(3) Equivariant

ivariant neural clouds

eral E(2) - Equivariant Steerable CNN:

oups on Arbitrary Continuous Data

A brief history of G-Color of Start https://github.com/Chen-Cai-OSU/awesome-equivariant-network **Discrete G-CNNs Gauge-equivariant CNNs** (square/cube symmetries) (manifolds) Winkels-Cohen 2018 Cohen-Welling 2016 3D discrete roto-transl. p4m Hoogeboom et al. 2018 Weiler et al. 2021 Cohen et al. 2019 Coordinate Independent CNNs SE(2,6) Icosahedron de Haan et al. 2020 Dieleman et al. 2016 Worrall-Brostow 2018 Meshes 3D discrete roto-transl. p4m **CNNs** G-CNNs NNs Cohen et al. 2018 Worrall et al. 2017 Mallat et al. 2013, 2015 Bekkers 2019 LeCun et al. 1990 SE(3) Lie groups (rotation, scale) Kondor-Trivedi 2018 Esteves 2017 Bekkers et al. 2014-2018 SE(3) Finzi et al. 2020 SO(3) SE(2) Weiler et al. 2018 Lie groups Kondor 2018 Weiler et al. 2017 Chakraborty et al. 2018 Riemannian Hom. spaces Zhou et al. 2017 Thomas et al. 2018 Sosnovik et al. 2020 *SE*(2) SE(3) Weiler-Cesa 2019 Scale-translation SE(2) **Continuous rotation G-CNNs Continuous rotation G-CNNs Continuous G-CNNs** (Lie groups) (regular discretizations) (steerable)

Cesa-Lang-Weiler 2022  $G = \mathbb{R}^d \rtimes H$  with H compact

https://quva-lab.github.io/escnn/

Learning SO(3) Equivariant

## A brief history of G-CNNs

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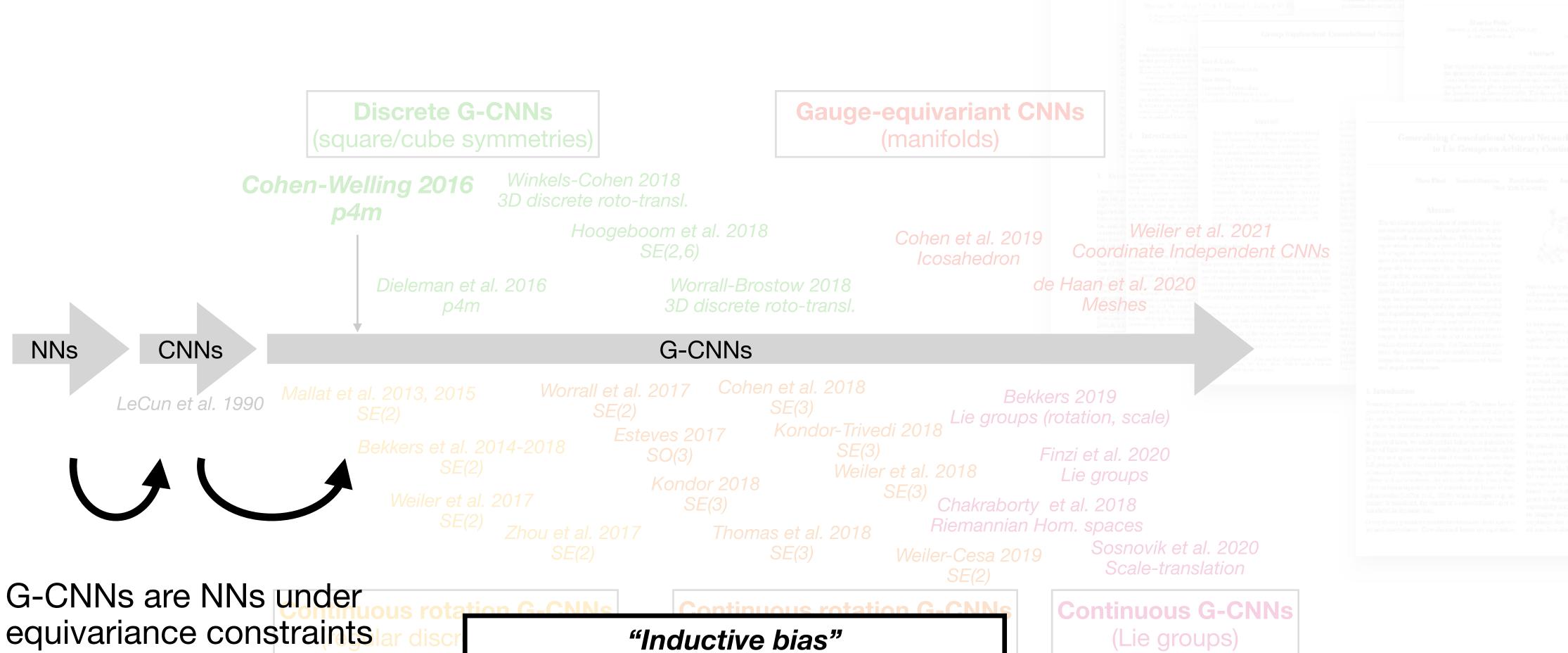
G-CNNs are NNs under equivariance constraints ar discretizations

**Continuous G-CNNs** (Lie groups)

Scale-translation

## A brief history of G-CNNs

https://github.com/Chen-Cai-OSU/awesome-equivariant-network



equivariance constraints

"Inductive bias"

Reduce the search space for NNs

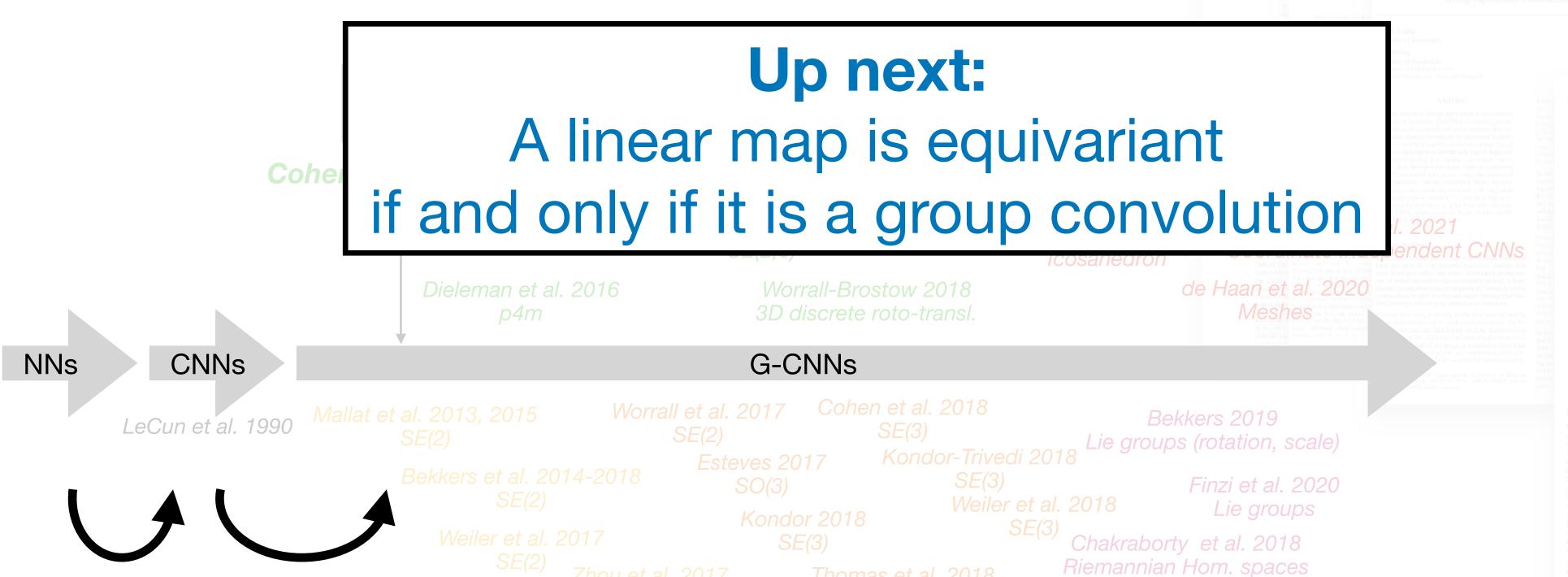
to only the sensible ones!

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## A brief history of G-CNNs

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G-CNNs are NNs under equivariance constraints are

Continuous rotation G-CNN

"Inductive bias"

Reduce the search space for NNs

to only the sensible ones!

Continuous G-CNNs (Lie groups)

Sosnovik et al. 2020

Scale-translation

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Roto-Translation Covariant Convol

Tensor field networks: and translation-equivariant neural

General E(2) - Equivariant Steerable

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to Lie Groups on Arbitrary Continuous Dat

Mare Final Samuel Stanton Pavel Lenallay Andrew Gardon New York University

Abeliraci

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